EXHIBIT 9

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VIA REGULAR MAIL AND EMAIL (pcolbath@loeb.com)

September 2, 2015

Paula K. Colbath 345 Park Avenue New York, New York 10154-1895 (212) 407-4000

Re: <u>Universitas Education, LLC v. Nova Group, Inc., 1:11-cv-01590</u>

Dear Attorney Colbath:

As you know, our office represents Dan Carpenter in connection with Universitas' Request for the Production of Documents dated July 13, 2015 (the "Requests"). We have just received the attached correspondence from Brown Paindiris & Scott, LLP, who represents Mr. Carpenter in connection with a criminal prosecution against him in the United States District Court for the District of Connecticut. In light of this request, Mr. Carpenter is asserting his Fifth Amendment privilege and our office will not be producing any documents at this time. Nonetheless, we would be happy to schedule a meet and confer with you next week to discuss your client's pending document requests.

Very truly yours,

David A. Slossberg

David Aloney

Enclosure

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Re: United States v. Daniel Carpenter

Dear Attorneys Slossberg and Shufrin:

This letter is in follow up with my phone call to your office of September 2, 2015. As you know, this office represents Mr. Daniel Carpenter with regard to a pending criminal prosecution in the United States District Court for the District of Connecticut, under docket number 3:13CR226, before the honorable Judge Robert N. Chatigny.

I understand that your office represents Mr. Carpenter in his capacity as a judgment debtor related to a judgment entered in the Southern District of New York. It is also my understanding that counsel for the judgment creditor in that action has requested the production of certain documents and/or materials from Mr. Carpenter, related to his alleged involvement with a number of business entities.

Given the nature and procedural posture of Mr. Carpenter's prosecution in this District, we request that Mr. Carpenter assert his Fifth Amendment right against self-incrimination in declining to respond to requests for production which may relate to business entities which are implicated in this prosecution.

The Fifth Amendment to the United States Constitution provides that "[n]o person . . . shall be compelled in any criminal case to be a witness against himself." U.S. Const. Amend. V. The right against self-incrimination contained in the Fifth Amendment,

BROWN PAINDIRIS & SCOTT, LLP

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however, bars only "compelled incriminating communications . . . that are 'testimonial' in character." <u>United States v. Hubbell</u>, 530 U.S. 27, 34 (2000). Moreover, "[t]he act of producing documents in response to a subpoena may have a compelled testimonial aspect. [The Supreme Court] ha[s] held that 'the act of production' itself may implicitly communicate 'statements of fact.' By 'producing documents in compliance with a subpoena, the witness would admit that the papers existed, were in his possession or control, and were authentic." <u>Id</u>. at 36.

Thank you for your kind attention to this matter.

CC: Richard R. Brown, Esq.

Cody N. Quarmeri

Sincerely